

Privacy Policy

We at Lewis Financial Group, L.C. (LFG) value our customers' trust and are committed to the responsible management, use and protection of personal information. All financial service firms must collect a certain amount of personal information to service clients and administer business. This notice describes our privacy policy regarding the collection and disclosure of personal information. ***You do not need to take any action regarding this privacy policy notice. It is being furnished to you as a result of government regulations and our concern for your privacy.***

- 1.) In the normal course of business, we collect, retain and use information about you to serve your financial needs, administer your account(s) and inform you of products and services that may be of interest. This data, known as non-public personal information, may be collected from several sources, including: applications and other forms you file with us (e.g., name, assets, income), and records of transactions with us, non-affiliated third parties, and others. Because we strive to provide you with the best possible service, the accuracy and completeness of your personal information is important to us. We ask that you review your information regularly to ensure that it is correct. Please contact your account representative of LFG directly if you need to correct or update your personal information, or the accuracy of your account(s).
- 2.) LFG does not sell your personal information to anyone. We restrict the types of information we share and the types of entities with whom we share it. The primary reason for sharing information about you is to increase your convenience in transacting business with us and to give you more financial service choices. We do not disclose your personal information to non-affiliated third parties except to companies that actually provide you with investment and/or insurance products and services such as securities clearing companies, mutual fund companies, insurance companies, etc. These third parties should provide you with their own privacy policy notice. We disclose or report personal information in limited circumstances when we believe in good faith that disclosure is required or permitted under law. For example, we would provide information in cooperation with securities regulators or law enforcement authorities.
- 3.) LFG uses physical safeguards to store and secure information about you. Access is permitted only to those individuals within our organization who need the information to service your account. LFG account representatives and employees use information about you to respond to your needs and to provide you with information about specific products in which you may have an interest. We instruct them to use strict standards of care in handling the personal and confidential information of customers.
- 4.) The LFG Privacy Policy applies to anyone who is a current or former client. We provide you with a copy of this policy when you open an account, and we send you annual notifications thereafter.
- 5.) If for any reason at any time in the future, we find it necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change and the opportunity to "opt out" of such disclosure. *Updated 12/03/07*